

10/09/01  
11050 U.S. PTO

09/97/3629

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	To be Determined
	First Inventor or Application Identifier	Cheng et al.
	Title	An Integrated Biochip System for Sample Preparation and Analysis
	Express Mail Label No.	EL892180268 US
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages of Application [125]] 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [49]]  4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (For continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).  *NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).  5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		

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## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents(s))  
 8. ☐ 37 C.F.R. § 3.73(b) Statement  
     (when there is an assignee)  
     ☐ Power of Attorney  
 9. ☐ English Translation Document (if applicable)  
 10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
     ☐ Copies of IDS Citations  
 11. ☐ Preliminary Amendment  
 12. ☒ Return Receipt Postcard (MPEP 503)  
     (Should be specifically itemized)  
 13. ☒ Small Entity Assertions  
     ☐ Statement filed in prior application. Status still proper and desired  
 14. ☐ Certified Copy of Priority Document(s)  
     (If foreign priority is claimed)  
 15. ☒ Other: Certificate of Mailing by Express Mail

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) Of prior application No: \_\_\_\_\_

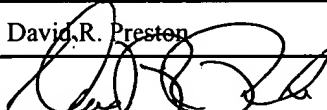
Prior application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE INFORMATION

☒ Customer Number 24232 or Bar Code Label [                      ] or

☐ Correspondence address below

Name		David R. Preston & Associates	
Address		12625 High Bluff Drive, Suite 205	
City, State and Zip Code		San Diego, California 92130	
Country		USA	
Telephone		858.724.0375	
Facsimile		858.724.0384	
Name	David R. Preston	Registration Number	38,710
Signature		Date	Oct 8, 2001

Corresponds to PTO/SB/05

Utility Application Transmittal Form

EL8928026805

FEE TRANSMITTAL		Complete if Known	
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Application Number:	To be Determined
		Filing Date:	Herewith
		First Named Inventor:	Cheng et al.
		Group / Art Unit:	To be determined
Total Amount of Payment	\$ 568.00	Docket Number:	ART-00105.P.1.1-US

METHOD OF PAYMENT
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: <input type="checkbox"/> Deposit Account Name: <input type="checkbox"/> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 to <u>Deposit Account Number 50132</u> <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check Number <u>979</u> <input type="checkbox"/> Money Order <input type="checkbox"/> Other

FEE CALCULATION						
1. BASIC FILING FEE						
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
	101		201	370.00	Utility Filing Fee	\$ 370.00
	106		206		Design Filing Fee	\$
	107		207		Plant Filing Fee	\$
	108		208		Reissue Filing Fee	\$
	114		214		Provisional Filing Fee	\$
SUBTOTAL (1)						\$ 370.00

706037 623666

## 2. EXTRA CLAIM FEES

				Extra Claims		Fee From Below		Fee Paid
Total Claims	[42]	-20 **	Equals	[22]	Times	[9.00]	Equals	\$198.00
Independent Claims	[1]	-3 **	Equals	[0]	Times	[ ]	Equals	\$ 0.00
Subtotal (2)								\$198.00

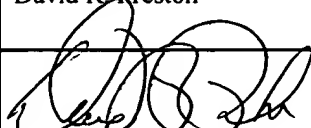
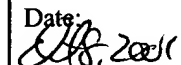
\*\* or number previously paid, if greater, For Reissues, see below

	Large Entity		Small Entity		Fee Description
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	
	103		203	9.00	Claims in excess of 20
	102		202	42.00	Independent claims in excess of 3
	104		204		Multiple dependent claim, if not paid
	109		209		** Reissue independent claims over original patent
	110		210		** Reissue claims in excess of 20 and over original patent

PTO/SB/17

3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217		Extension for reply within third month	\$
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126		Submission of Information Disclosure Statement	\$
581		581		Recording each patent assignment per property	\$
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$
Other fee (specify):					\$
				<b>Subtotal (3)</b>	<b>\$ 0.00</b>

4. SUMMATION OF FEES	
SUBTOTAL (1)	\$ 370.00
SUBTOTAL (2)	\$ 198.00
SUBTOTAL (3)	\$ 0.00
TOTAL FEES	\$ 568.00

SUBMITTED BY			Complete (If Applicable)	
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature		Date: 	Deposit Account User ID Number	50132

406004-6292660

10/09/01  
1132 U.S. PTO

10-10-01  
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11000 U.S. PTO  
09/973629  
10/09/01

**Box Utility Patent Application**

**"Express Mail" Mailing Label Number: EL892180268US**

**Date of Deposit: October 9, 2001**

Assistant Commissioner for Patents  
Washington DC 20231

Re: Utility Patent Application  
Entitled: An Integrated Biochip System for Sample Preparation and Analysis  
Appl. No.: To be determined  
Filed: Herewith  
Inventor: Cheng et al.  
Our Ref.: ART-00105.P.1.1-US

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal (in duplicate);
2. Fee Transmittal (in duplicate);
3. Declaration for Utility or Design Patent Application;
4. Complete U.S. Patent Application entitled:

**An Integrated Biochip System for Sample Preparation and Analysis**

David R. Preston & Associates  
DRPNA  
www.q-creative.com/drpreston  
phone: 858.724.0375  
facsimile: 858.724.0384

and naming as inventors

**Jing Cheng  
Xiaobo Wang  
Lei Wu  
Weiping Yang  
Junquan Xu**

the utility application comprising:

- a) Total pages of application: [125]
  - b) Pages of specification: [70];
  - c) Pages of Figures: [49] Number of Figures: [19];
  - d) Pages of Title Page: 1;
  - e) Pages of Abstract: 1;
  - f) Pages of Claims: [4]; [42] Claims.
5. Small entity statement, small business concern;
  6. Small entity statement, non-profit organization
  7. One Return Post Card; and
  8. Our Check Number 979 for \$ 568.00 to cover the Application Fee.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to **Deposit Account 501321** in the name of **David R. Preston & Associates** having **Customer No.: 24232**.



$$\begin{array}{ccccccc} \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} & \left\{ \begin{array}{c} \{1\} \\ \{1,2\} \\ \{1,2,3\} \\ \{1,2,3,4\} \end{array} \right\} \\ \{1\} & \{1\} & \{1\} & \{1\} & \{1\} & \{1\} & \{1\} \\ \{1,2\} & \{1,2\} & \{1,2\} & \{1,2\} & \{1,2\} & \{1,2\} & \{1,2\} \\ \{1,2,3\} & \{1,2,3\} & \{1,2,3\} & \{1,2,3\} & \{1,2,3\} & \{1,2,3\} & \{1,2,3\} \\ \{1,2,3,4\} & \{1,2,3,4\} & \{1,2,3,4\} & \{1,2,3,4\} & \{1,2,3,4\} & \{1,2,3,4\} & \{1,2,3,4\} \end{array}$$